

11-03-06

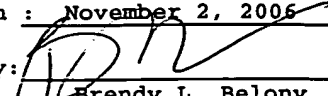
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By: 
Brendy L. Belony

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Yutaka YAMANAKA, et al.
Filed: March 9, 2004
For: OPTICAL DISK MEDIUM AND....
Serial Number : 10/796,579

November 2, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

In order to comply with discretionary regulations 37 CFR §§ 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of non-US documents (if any) listed thereon. This document contains information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

Attached is a European Search Report dated September 29, 2006, from corresponding European Application Number 04090096.1-2210.

The present Disclosure Statement is being submitted in compliance with 37 CFR § 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will

determine the best prior art consistent with 37 CFR § 1.104 (a), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

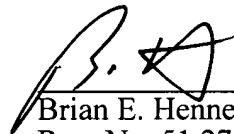
Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the information disclosure statement.

As this statement is being filed prior to issuance of a first Office Action, no fee is due. However, any fee determined to be due with this statement may be charged to Deposit Account 50-1290.

Early and favorable consideration is respectfully solicited.

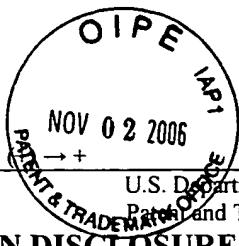
Any fee due with this paper may be charged to Deposit Account 50-1290.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'B. Hennessey', is written over a horizontal line.

Brian E. Hennessey
Reg. No. 51,271

CUSTOMER NUMBER 026304
Docket No.: NECG 21.055



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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

1449/PTO

U.S. Department of Commerce
Patent and Trademark Office

Application No. : 10/796,579

Filing Date : March 9, 2004

First Named Inventor: Y. YAMANAKA

Group Art Unit :

Examiner Name :

Attorney Docket No. : NECG 21.055

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document	Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		5,978,332		ITAKURA ET AL.	11-02-1999	*
		6,157,600		NAKAMURA ET AL.	12-05-2000	*
		2002/0039338		KADOWAKI ET AL.	04-04-2002	*

FOREIGN DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		1 031 970	EP	HEWLETT-PACKARD COMPANY	08-30-2000	*
		0 886 266	EP	SONY CORPORATION ET AL.	12-23-1998	*
		1 213 712	EP	PIONEER CORPORATION	06-12-2002	*
		0 944 048	EP	SAMSUNG ELECTRONICS CO., LTD.	09-22-1999	*

***European Search Report dated September 29, 2006, from corresponding European Application Number 04090096.1-2210**

Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check here if English language translation attached
		European Search Report dated September 29, 2006, from corresponding European Application Number 04090096.1-2210	
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1⁴ if possible. ⁶Applicant is to place a check mark here if English language translation is attached. Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.